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(57) Abstract

Scheme for the automated apprehension of textual information conveyed in an input string. The input string is segmented to generate segments and/or semantical units. The following steps are repeated for each segment in the input string until a subset for each segment in said input string is identified: a) identifying a matching semantical unit in a fractal hierarchical knowledge database of semantical units and pointers, said matching semantical units being deemed to be related to a segment of said input string; b) determining the fitness of said matching semantical unit by taking into consideration said semantical unit's associations; c) defining a subset of information related to said matching semantical unit within said fractal hierarchical knowledge database. Then these subsets are combined to form a resulting semantic network.

PROCESSING OF TEXTUAL INFORMATION AND AUTOMATED
APPREHENSION OF INFORMATION

TECHNICAL FIELD

The invention concerns the automated apprehension of textual information conveyed in an

5   input string, the structure of a special knowledge database used in this context, and systems

based thereon.

BACKGROUND OF THE INVENTION

In their attempts at automated apprehension of the meaning of speech and text, neither

linguists nor computer scientists have made much progress. In our opinion they have

10   concentrated too much on the logical structure of the texts themselves and have neglected

the structure of the world. Speech and textual information are obviously based on the

structure of the world and refer to it.

Quite some progress has been made in phonological and/or phonetical, lexical,

morphological, and syntactical analyses of natural language processing. However, when it

15   comes to understanding the meaning of speech, i.e. the semantical interpretation of speech,

the breakthrough has not yet been achieved. As a consequence, the pragmatical analysis,

the control of tools and devices by natural speech, has also not been developed very far.

A typical example of a modern speech/text recognition system is described in the article

"Enabling agents to work together", by R.V. Guha et al., Communications of the ACM,

20   Vol. 37, No. 7, July 1994, pp. 127-142, and reviewed by T.J. Schult in the German article

"Transparente Trivialitaten; Cyc-Wissensbasis in WWW", c't, 1996, Vol. 10, pp. 118-121.

The Cyc-system described by R.V. Guha is a knowledge based system for true/false

categorization of input statements. T.J. Schult points out in his article that the knowledge

representation in the database used in the Cyc-system is not standardized and uses only the

25   following relations for deduction: 'is element of', 'is subset of', and 'has subsets'.

It is an object of the present invention to provide a new structure for the representation of knowledge in a database.

It is an object of the present invention to provide a new structure for the representation of knowledge in a database that allows for ease of knowledge increase ("learning") and/or ease of knowledge retrieval ("understanding").

It is another object of the present invention to provide systems based on a new structure for the representation of knowledge in a database.

SUMMARY OF THE INVENTION

The present invention concerns the processing of textual information conveyed in an input string together with information contained in a knowledge database. The knowledge database represents a network of hierarchically arranged semantical units which are similar across hierarchies. According to the present invention, the input string is segmented into segments. These segments are then combined with semantical units from said knowledge database to generate a resulting semantic network. This resulting semantic network comprises hierarchically arranged semantical units which are similar across hierarchies.

The present invention further concerns a specific fractal hierarchical knowledge database for use in connection with the automated apprehension of information and an apparatus for the processing of textual information conveyed in an input string together with information contained in a knowledge database.

Furthermore, the present invention concerns the processing of textual information conveyed in an input string together with information contained in a knowledge database for the automated apprehension of information.

Advantages of the present invention are addressed in connection with the detailed description or are apparent from the description.

DESCRIPTION OF THE DRAWINGS

The invention is described in detail below with reference to the following schematic drawings.

FIG. 1   shows the elements (semantical units and pointers) of a fractal hierarchical knowledge database, in accordance with the present invention. Note that a pointer can be a semantical unit, in which case the semantical unit is drawn on top of the pointer.

FIG. 2A   is a schematic block diagram of a first embodiment, in accordance with the present invention.

FIG. 2B   is a schematic block diagram of a second embodiment, in accordance with the present invention.

FIG. 2C   is a schematic block diagram of a third embodiment, in accordance with the present invention.

FIG. 3A-3C illustrate how an input string can be transformed into an input network, in accordance with a first embodiment of the present invention.

FIG. 4   illustrates a fractal hierarchical knowledge database, in accordance with the present invention.

FIG. 5   illustrates inherited attributes and relations of 'plant$_1$', in accordance with the present invention.

FIG. 6   illustrates a local network around 'plant$_1$', in accordance with the present invention.

FIG. 7   illustrates inherited attributes and relations of 'plant$_2$', in accordance with the present invention.

FIG. 8   illustrates a local network around 'plant$_2$', in accordance with the

present invention.

FIG. 9   illustrates inherited attributes and relations of 'meadow', in accordance with

5   the present invention.

FIG. 10   illustrates a local network around 'meadow', in accordance with the

present invention.

FIG. 11   illustrates a resulting semantic network of sentence 1, in accordance with the

present invention.

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DESCRIPTION OF PREFERRED EMBODIMENTS:

In the following, the basic concept of the present invention is described. Before addressing different embodiments, the relevant terms and expressions are defined and explained.

The words "interpretation" and "apprehension" are herein used to describe a process which
5   starts with an input string, e.g. some sentences and/or questions, and analyzes the textual information (also referred to as original information) conveyed by, or carried in this string and creates an appropriate output, such as a summary, an answer, a question, a speech, or a action/reaction. The present inventions achieves this by converting the input string into segments and/or semantical units. Then, the segments or semantical units are related with
10   (corresponding) information in a knowledge database yielding a resulting semantic network that is a representation of the input information. By inverting the resulting semantic network one can create a human-understandable output. The inventive approach allows for an automated apprehension of the meaning and/or the information conveyed in an input string and possibly for an appropriate answer and/or reaction.

15   The expression "textual information" is defined to be any kind of written information, or information contained in speech. "Textual information" is not limited to human-readable or human-understandable. This expression is also meant to cover program strings, e.g. in machine readable form, or encoded information, e.g. as transmitted through a network.

The expression "theme" is herein used to describe the area, field, matter, topic, or subject
20   to which the original information is deemed to be related.

A crucial component of the present invention is the so-called knowledge database which is addressed in the following sections. This knowledge database has a novel and unique structure.

Knowledge database: A knowledge database is a kind of library describing the knowledge
25   of the world, or a particular area of interest thereof, by using a well-defined structure that consists of components such as possible relevant types of semantical units and their possible mutual connections, as schematically illustrated in Figure 1. The inventive

knowledge database consists of semantical units and various types of pointers between semantical units, where the pointers themselves may be regarded as semantical units. Each pointer may carry a fixed or variable weight (herein also called semantical distance), where the inverse of the weight of a pointer represents some kind of semantical distance between

5   the two semantical units it connects, i.e., it represents the degree of (semantical) association between the two semantical units across this particular link.

Since the weights used in connection with the present invention are attached to the links, it is clear which two semantical units' semantical distance they correspond to. Weights are not compared, but used to compute the semantical distance of any two linked semantical units

10   (the two semantical units may be connected through further semantical units, their semantical distance then being the product or some other suitable combination of the individual distances). Thus, this concept of semantical distance establishes a metric on the knowledge database. Finally, it is advantageous to use a variable or fixed threshold below which connections are ignored. So if two semantical units are connected through (for

15   instance) three links (thus involving two more semantical units), and the product or other suitable combination of the three weights is below the threshold, then one can assume that there is no association between the two semantical units. This method allows to make the network local, i.e., each semantical unit has only a limited number of associations and the local network structure around each semantical unit is not too difficult. For example, there

20   are no loops which could cause contradictions. A local network is herein also referred to as a subset.

Furthermore, the weights used herein might be variable. This means that the weights can be adjusted depending on the given/presumed theme. Certain rules for adjusting the weights according to the given/presumed theme might be stored with the links to which the

25   respective weights are attached.

Semantical units in the knowledge database and/or in the resulting sematical network may carry a "potential". If a semantical unit carries a potential it corresponds to the semantical unit's importance in relation to the segment or semantical unit from the input string currently under investigation.

The "matching link" from a segment or semantical unit to a semantical unit in the knowledge database may carry a "fitness". If a matching link carries a fitness it corresponds to the classification probability, i.e. the probability that the segment or semantical unit from the input string has been correctly matched with a semantical unit in the knowledge

5   database.

When referring to a knowledge database, either a library describing knowledge of the world, or a library with application specific information is meant. The knowledge database is herein also referred to as world library. An example of an application specific knowledge database is a database which comprises information relevant for the processing of insurance

10   claims. An example of such a knowledge database will be given later.

The knowledge database reflects the knowledge of the world or the knowledge of a certain area or field. The content of this knowledge database always forms a subset of the content of the real world, corresponding to a limited life experience of the computer and the human being who programmed the computer. However, a knowledge database can be expanded

15   either by automated learning from analyzed input, or by adding separately obtained sub-worlds (e.g. in the form of application-specific modules). It is conceivable to provide updates for the knowledge database through an intranet or internet. Likewise, one might for example link a particular knowledge database when processing a piece of literature, or when analyzing a computer program.

20   The structured representation of aspects of the world with the knowledge database is achieved by a multiscale approach related to the work of B. Mandelbrot and K. Wilson. Self-similar representations are used on different scales to describe the behavior of objects in a dynamical hierarchical network, as will be described in connection with an example (see Figure 4). Furthermore, self-similar algorithms are used when making use of the

25   knowledge contained in this database. However, the inventive approach goes beyond the theory of B. Mandelbrot and K. Wilson and predominantly deals with the behavior of elements and structures rather than with their appearance.

It is to be noted that there is a fundamental difference between knowledge and understanding. One can accumulate arbitrary amounts of knowledge without having any understanding, while the converse is not possible. Knowledge is the isolated representation of pure facts, while understanding arises from strong connections between isolated facts, and from abstraction. The database described in R.V. Guha's paper has a large amount of knowledge but only a small amount of understanding, because the links in this database appear in its network of topics, while all the individual entries are not connected among each other.

The inventive knowledge database is a complex fractal hierarchical network of semantical units and pointers.

Fractal hierarchical network: A network consists of nodes (here called semantical units) and links (here called pointers) between the nodes. A network is called hierarchical if there are links of the type "... is kind of x" (hyponyms), "x is kind of ..." (hypernyms), "... is part of x" (meronyms), and "x is part of ..." (holonyms) for a given node x, where the first and second relation type groups several nodes by their similarity to one new node, and the third and fourth relation type groups several nodes by their functional connection to one new node. Examples are: a desk chair, an armchair, and a rocking chair are all grouped in the semantical unit 'chair' by their similarity (they are all chairs), while a backrest, a leg, and a seat are all grouped in the semantical unit 'chair' by their functional connection (they are all functional parts of a chair).

A hierarchical network is called fractal if the following four conditions are satisfied:

• All nodes are similar (derived from one template).

• All links are similar (derived from one template).

• Links may also be nodes.

• Hierarchical links are possible, and at least one node must have a hierarchical link.

The construction of a fractal hierarchical network, according to the present invention, is achieved as follows. The network is given by a list of semantical units and pointers, as illustrated in Figure 1. There might be different types of semantical units (objects, relations, and attributes, as defined later) and pointers (similarity pointers, functional pointers,

5　　attribute pointers, and role pointers, also defined later). These pointers can be oriented upwards, downwards, or horizontally (Note that these directions are used to better define the hierarchical structure of the network, e.g. downward pointers point to a lower hierarchical level). The various semantical units are interconnected in various ways. Some of the pointers are hierarchical representing the multiscale approach. Knowledge is

10　　presented in the knowledge database as an associative network. According to the present invention, segments and/or semantical units derived from an input network are matched with entries in the knowledge database. As discussed above, the knowledge database is of hierarchical nature and all elements are alike, so that the network has a fractal hierarchical structure. Algorithms can operate on elements at any hierarchical level in the same way,

15　　making them 'fractal' algorithms. In addition, every semantical unit is linked to its associative semantical unit. These associative links reflect how one understands each semantical unit. It is important to note that these pointers can exist between any two semantical units. The pointers themselves may be regarded as semantical units that can have pointers to other semantical units, reflecting the fact that something could act on the

20　　association between two semantical units rather than on the individual semantical units. According to the present invention, the complex structure of the world is significantly simplified through the fractal organization of the knowledge database. This also greatly simplifies the data entry into the knowledge database as one only needs to properly define the individual semantical units, and the complex network is created automatically by the

25　　individual definitions together with the possible inheritance rules originating from the fractal hierarchical structure.

Semantical units: A semantical unit is a set that contains one or several pieces of information. It may be represented by a word, an object, a relation, an attribute, a combination of words and/or objects and/or relations and/or attributes, a (hierarchical)

30　　network of words and/or objects and/or relations and/or attributes, a part of a sentence or a

whole sentence, a part of a paragraph or a whole paragraph, or a part of a story or a whole
story.

Semantical units in the knowledge database: In the knowledge database semantical units

5   are used as in the above definition. A semantical unit is given by a word or a phrase
(representing the name) and by all the pointers attached to it. For the present
implementation we define 3 types of semantical units: objects, relations, and attributes.
Note that it is also possible to define a larger or smaller number of semantical units, e.g.
such that attributes are part of objects or relations.

10

- **object:** Semantical units of this type correspond to individual semantical units that exist
  independently of other semantical units. Every object might have a set of pointers to
  other objects. Each pointer may have a weight corresponding to the semantical distance
  of the two objects it connects. Every object might have a set of pointers to other

15   relations which correspond to the possible relations the object can play a role in. Each
  pointer might have a weight corresponding to the semantical distance of the object and
  the possible relation it can play a role in. Every object might have a set of pointers to
  other attributes which correspond to the possible attributes the object can take. Each
  pointer might have a weight corresponding to the semantical distance of the object and

20   the possible attribute (i.e. the importance of the attribute for the object).

Note that each of these pointers is in fact a special type of relation and can thus be
pointed at by other semantical units. This reflects the fact that some semantical unit may
take influence on a possible relation or possible attribute of an object.

25

- **relation:** Semantical units of this type correspond to semantical units that represent
  relations of any kind between semantical units of all types. Every relation might have a
  set of pointers to other relations. Each pointer may have a weight corresponding to the
  semantical distance of the two relations it connects. Every relation might have a set of

30   pointers to other objects which correspond to the possible roles the object can play in the

- 12 -

relation. Each pointer might have a weight corresponding to the semantical distance of the relation and the possible role (i.e. the importance of the role for the relation). Every relation might have a set of pointers to other attributes which correspond to the possible attributes the relation can take. Each pointer might have a weight corresponding to the semantical distance of the relation and the possible attribute (i.e. the importance of the attribute for the relation).

Note that here also each of these pointers is in fact a special type of relation and can thus be pointed at by other semantical units.

- **attribute:** Semantical units of this type correspond to semantical units that represent detailed information about particular states of objects and relations. Every attribute might have a set of pointers to other attributes. Each pointer may have a weight corresponding to the semantical distance of the two attributes it connects. Every attribute might have a set of pointers to possible values of the attribute. Each pointer might have a weight corresponding to the semantical distance of the attribute and the possible value. Values are attributes. They may be arranged on a one- or multidimensional scale to represent their mutual semantical arrangement. Time and space may be attributes. If pointed at by an object, they refer to the time and space when and where an object exists or is valid; if pointed at by a relation they refer to the time and space when and where a relation takes place; and if pointed at by an attribute they refer to the time and space when and where a state is assumed.

Note that here also each of these pointers is in fact a special type of relation and can thus be pointed at by other semantical units.

Classes of pointers: The pointers can be viewed as directed associative connections between semantical units. Some of them establish the hierarchical structure. The knowledge database according to the present invention might comprise the following classes of pointers:

- **Hierarchical pointers:** There are two kinds of hierarchical pointers (see Figure 1); hierarchical classes (similarity pointers) and hierarchical structures (functional pointers). Both kinds can point either in upward or downward direction, corresponding to hierarchical associative connections.

5 - **Horizontal pointers:** There are two kinds of horizontal pointers (see Figure 1); similarity and functional pointers, corresponding to non-hierarchical associative connections.

- **Attributional pointers:** This is one kind of pointer, corresponding to possible attributional associative connections (e.g. semantical units pointing at other semantical 10 units which can be their possible attributes). Note that an attributional pointer may be regarded as a special kind of horizontal or hierarchical pointer.

- **Role pointers:** This is one kind of pointer, corresponding to possible role of associations (e.g. semantical units pointing at other semantical units which can occupy their possible roles). Note that a role pointer may be regarded as a special kind of horizontal or 15 hierarchical pointer.

All pointers may be regarded as semantical units and, therefore, might have the same classes of pointers attached to themselves. This corresponds to the complexity of associations in the real world.

The structure of the inventive knowledge database extends the object-oriented concept in 20 the following sense. One might have an object (or class) "car" in the inventive knowledge database, and in a given input string one may find an instance of this class, a specific car, say, "Mr. Dent's Ford". Then (as an instance) "Mr. Dent's Ford" carries all the data and member functions of the class "car". However, not all data may be specified, for instance, the color may not be specified and it must not be set to any default value (e.g. red) by the 25 constructor. Even worse, "Mr. Dent's Ford" may carry data that is not defined in the class "car", because this knowledge is not yet known to the knowledge database. So the object "Mr. Dent's Ford" is only what is called an "approximate" instance of the class "car".

Another general problem is inheritance. Only subclasses can inherit definitions from their superclasses. So if one wants to employ the concept of inheritance in strict object-oriented terminology, all entries in the inventive knowledge database must be individual classes, some of them subclasses of others. However, this does not allow for

5   "horizontal/associative" connections because two classes cannot be connected by a link (only their instances can).

First embodiment: According to the present invention, an input string 12 (e.g. a text or a speech) is transformed into a semantic network 13 of semantical units, as illustrated in Figure 2A. This might be done by a semantic processor 14 in conjunction with a knowledge

10   database 11. The semantic processor 14 transforms the resulting semantic network 13 into an appropriate output 15 (e.g. a text or a speech or a reaction).

Second embodiment: The second embodiment adds one or several persons and/or one or several machines of type 10 to the first embodiment, closing the interaction cycle so that an extended communication can take place, as illustrated in Figure 2B.

15   **Third embodiment:** According to the present invention, an input string 12 (e.g. a text or a speech) is transformed into a formal network 18 (herein also referred to as input network) of semantical units, as illustrated in Figure 2C. This might be done by a semantic preprocessor 17. There are various conventional techniques for this transformation, as will be addressed below.

20   **Semantic preprocessor:** The semantic preprocessor 17 transforms an input string 12 into an input network 18 (formal network). A semantic preprocessor, as used in connection with a speech recognition system for example, might consist of four parts:

I.   Voice recognition software to transform speech into an input string. This feature is optional as the input data may already be presented in written form.

25   II. Syntactic (Chomskyan) parser to create a constituent structure of the input string.

III. Grammatical parser to create a functional structure of the input string's constituent structure. The grammatical theories of Lexical Functional Grammar or General Phrase Structure Grammar provide possible frameworks for this step.

IV. Transformer (scope analyzer) to resolve language issues such as pronouns, direct and
5   indirect speech, relative clauses etc. It creates the input (formal) network from the functional structure. The logical Discourse Representation Theory provides one possible framework for this step.

The behavior of a semantic preprocessor is explained below in connection with an example illustrated in Figures 3A - 3C:

10   *Speech:* "Mike was a young boy. Every morning he walked to school."

         Input string: Mike was a young boy. Every morning he walked to school.

         Constituent structure: Parser creates tree structure. Since there are two separate sentences, the parser creates two trees, as illustrated in Figure 3A.

         Functional structure: Parser identifies functions of words, as schematically
15   illustrated in Figure 3B.

         Formal network: Transformer resolves pronoun 'he'. An example of a formal network is illustrated in Figure 3C.

As shown in Figure 3A, there is one tree for each sentence (S). Each of the trees is subdivided into a noun phrase (NP) and verb phrase (VP). The tree representing the first
20   sentence (on the left hand side of Figure 3A) is further divided into a noun (N) branch, a verb (V) branch, and another noun phrase (NP) which has a determiner (DET), a modifier (MOD), and a noun (N). The second sentence, as represented on the right hand side of Figure 3A, has one branch with a prepositional phrase (PP) and a noun (N), and one branch with a verb (V) and another prepositional phrase (PP). Both prepositional phrases (PP)
25   have a preposition (P) and a noun (N).

- 16 -

In a next step, the function of the words is identified, as schematically illustrated in Figure 3B. The following abbreviations are used in this Figure: PRED (predicate); SUBJ (subject); OBJ (object); NUM (number), SING (singular); PL (plural); PERS (person); 3 (third person); DEF (definitness); + (definite); - (indefinite); SPEC (specification); MOD (modifier); PCASE (prepositional case).

Finally, the semantic preprocessor 17 generates an input network (formal network) 18, which is shown in Figure 3C. This network comprises three objects 30-32. There is an upward similarity pointer 36 and a corresponding downward similarity pointer 37 between the first object 30 (Mike) and the second object 31 (boy) which indicates that 'Mike' is 'a kind of 'boy'. The second object 31 (boy) has an attribute pointer 38 which points to the attribute 34 (young). There is a horizontal functional pointer 39 between the first object 30 (Mike) and the relation 33 (walk to), and a role pointer 40 in the reverse direction, which is at the same time a relation (agent). An attribute pointer 43 points to the time attribute 35 (every morning). A functional pointer 41 points from the third object 32 (school) to the relation 33 (walk to), and a role pointer 42 in the reverse direction, which is at the same time a relation (location). It is to be noted that the above details are merely given to describe how an input network can be obtained and what its structure might be.

Once the input network 18 is created, the semantical processing commences. This can be done following any one of three procedures that lead to an equivalent result, the "resulting semantic network" 13.

1) The semantic processor 19 takes the input network 18 and locates a subset in the knowledge database 11 that is deemed to be the best fit for all semantical units in the input network 18. This subset is then called the resulting semantic network 13

2) The semantic processor 19 takes the input network 18 and expands it with related semantical units from the knowledge database 11. This expanded input network is then called the resulting semantic network 13.

3) The semantic processor 19 creates a new fractal hierarchical network of semantical units and pointers from the input network 18 and the knowledge database 11, where the components are selected according to a matching algorithm. The newly created fractal hierarchical network is then called the resulting semantic network 13.

5　　The resulting semantic network 13 (created by any of the above processes) reflects both the general meaning and the individual aspects of the input string 12 and is - like the knowledge database 11 - represented by a fractal hierarchical network of semantical units and pointers. The creation of the resulting semantic network 13 by any of the above processes is performed by a matching algorithm with data in the knowledge database 11 and by

10　　information extraction from this knowledge database 11. This is only possible if the structure of the resulting semantic network 13 and the knowledge database 11 are similar.

There are different ways to implement the semantic processor 19. The actual implementation depends on the question whether one wants a subset to be defined within the knowledge database 11 (1st implementation), the input network 18 to be expanded

15　　(2nd implementation), or a new network 13 to be generated (3rd implementation).

Semantic processor: Note that the implementation of the semantic processor depends on the environment in which is going to be used. The semantic processor of the first and the second embodiment (Figs. 2A and 2B, respectively) differs from the semantic processor of the third embodiment (Fig. 2C).

20　　According to the first and second embodiment (Figs 2A and 2B), the semantic processor 14 creates a first guess of the resulting semantic network 13 by assigning semantical units, roles (such as "agent", "object", "source/destination", "instrument", "location", etc.) and attributes to segments or individual semantical units of the input string 12. Then the semantic processor 14 reads out the possible subsets from the knowledge database 11 that

25　　are associated with the various segments or semantical units of the input string 12. It performs a matching of semantical units, attributes, and roles of the above guess with information from the knowledge database 11 through inheritance, implementation and overwriting rules.

- 18 -

According to the third embodiment (Fig 2C), the semantic processor 19 creates a first guess of the resulting semantic network 13 by assigning semantical units, roles (such as "agent", "object", "source/destination", "instrument", "location", etc.) and attributes to the individual semantical units discovered by the semantic preprocessor 17. Then the semantic

5   processor 19 reads out the possible subsets from the knowledge database that are associated with the various objects of the input string 12. It performs a matching of semantical units, attributes, and roles of the above guess with information from the knowledge database 11 through inheritance, implementation and overwriting rules.

Prejudgement: As next step, a "theme"-matching (or prejudgement) might be carried out

10   by the semantic processor 14 or 19. It chooses a theme from the set of possible "themes" which influences the semantical distances of semantical units in the knowledge database 11 and verifies how well a segment or semantical unit of the input string matches with its (suspected) counterpart in the abstract knowledge database. Note that for this evaluation the semantic processor 14 or 19 retrieves the requested information (the local network

15   around the suspected semantical unit) from the knowledge database 11. For this purpose, the semantic processor 14 or 19 uses the following information: a semantical unit (an entry in the knowledge database 11), a possible theme (defines how to adjust the weights), and a threshold (defines where to cut off the network around the given semantical unit in the knowledge database 11). Then the retrieved local network from the knowledge database 11

20   and the given concrete segment or semantical unit from the input string are compared to find out just how close the second comes to being an instance of the first. This measure ('fitness') yields a likelihood for the semantical unit classification (which is of course 'theme'-dependent). Finally, the retrieved local networks are compared to find the best combination if multiple possibilities (i.e. multiple matching semantical units in the

25   knowledge database) were encountered. The whole task is done iteratively for all known semantical units and themes until a good (preferably the best) match is found, e.g. the resulting semantic network 13 converges to a stable state (the "meaning").

According to the third embodiment of the present invention, the information contained in the input network 18 is expanded by adding knowledge from the knowledge database 11.

To avoid adding the whole content of the knowledge database 11, the expansion process might be self-controlled by a theme-prejudgement mechanism (derived e.g. by condensing semantical units into more abstract semantical units, or by counting the numbers of connections at individual semantical units in the input network 18 or semantic network 13).

5   The prejudgement might be continuously updated and can even be dramatically corrected if a contradiction or a change of theme is discovered. In addition, it determines and/or alters the weights in the knowledge database 11, so that if, for instance, a semantical unit's link to another semantical unit is increased, then the second semantical unit's neighbors from the knowledge database 11 will also be added to the resulting semantic network 13 since

10   they may be relevant within the (currently supposed) theme (semantic enhancement). Finally, if an input string 12 is rather long, then the prejudgement might even have a hierarchical structure (i.e. abstracts of abstracts).

Resulting Semantic network: The resulting semantic network 13 consists of self-similar semantical units and pointers giving it a structure of a fractal hierarchical network.

15   Preferably, the resulting semantic network 13 has a structure similar to the one of the knowledge database 11, thus allowing a comparison between the two. All possible interpretations and (re)actions of the inventive system to textual information contained in an input string 12 are part of the knowledge database, so that comparing the resulting semantic network 13 to the knowledge database 11 is equivalent to understanding the

20   meaning of the textual information contained in the input string 12.

Once the original information carried by the input string 12 is analyzed, as described above, one might:

- provide a summary or abstract which summarizes the original information according to predefined rules;

25   - fill in fields of a questionnaire about the input string 12 (such as a form, for example) with appropriate information;

- answer individual questions;

- 20 -

- pose questions, e.g., to better understand the situation;

- engage in a discourse with a user, for example, to resolve unresolvable disambiguities;

- exchange information with another system, or retrieve information from another system;

5   - extract meaning;

- take steps or measures depending on the interpretation of the original information, i.e., the understanding of the meaning of the input string 12 can result in an adequate reaction of a system, which could be a predetermined action triggered if a particular textual information is determined to be comprised in the input string 12.

10   This may be done by an a semantic postprocessor 21 that creates an appropriate output 15.

It is to be noted that the result of the inventive approach depends on the quality of the knowledge database 11. It is immediately obvious that a system for the processing of insurance claims requires an appropriate knowledge database. If one tried to interpret the original information carried in an input string derived from a letter which was sent by an

15   insured person to his insurance agency in the light of a music knowledge database, the result would most likely be nearly useless.

In the following, an exemplary algorithmic description of a semantic processor is given. The algorithm below might be used for processing a sentence.

20   === beginning of algorithmic description ============================
For all semantical units in input
string (omit "be" and "have")   *// suppose there are N semantical units*
{
          For all fitting knowledge database
25   *entries (string match)*   *// suppose there are n_i*
                                                    //($1<=i<=N$)
     {
               Create semantical unit instance
               Inherit all possible attributes from knowledge database
30   *If (object) Inherit all possible relations from knowledge database (including*
                    attached roles)

If (relation) Inherit all possible role objects from knowledge database
Compute isolated fitness:

> {
>
> > $f_i := 1 / sqrt(n_i)$
> > *Adjust for implemented attributes: good fit: +25% rel., bad fit: -10% rel.*
> > *Adjust for implemented relations: good fit: +10% rel., bad fit: -50% rel.*
> > *Adjust for implemented roles: good fit: +10% rel., bad fit: -50% rel.*
>
> }

Find local neighborhood:

> {
>
> > *Set potential of semantical unit to sqrt(k/m)*
> > > *// k = # appearances of semantical unit in story up*
> > > *to present sentence*
> > > *// m = total number of semantical units in story up*
> > > *to present sentence*
> > *Propagate potential across weights in knowledge database*
> > *Attach everything above min. threshold $t_{min}=0.3$*
>
> }
>
> }

} // yields $n_1+...+n_N$ local neighborhoods

For all combination of local neighborhoods   // there are $n_1...*n_N$ combinations*

> {
>
> *Compute local fitness adjustment (cellular automat method):*
>
> > {
> >
> > *Count how many double, triple, etc. overlaps of objects $(l_2(t), ... , l_N(t))$*
> > > *// Note that the l_i depend on threshold t*
> > *$lfa_i = max_{tmin<=i<=1} \{ ½ + 1/\pi ArcTan(20 t - 10 + 2 sqrt(\Sigma_{i=2}^N i \, l_i / N) \}$*
> > > *// May improve this formula by making it "source" dependent,*
> > > *// i.e. overlaps between subject and predicate count more than others.*
> >
> > }
>
> *Adjust fitness of each semantical unit in combination with calculated local*
> *fitness adjustment*
> *Compute total fitness of combination:*
>
> > {
> >
> > *$F_i = (30\% \, pred. fitness + 30\% \, subj. fitness + 20\% \, obj. fitness + 20\% \, other)$*
> > > *// all of the above relative to 100%, in case any of the categories is*
> > > *// missing or multiply present.*
> >
> > }
>
> }

Pick combination with highest total fitness as correct sentence network
Connect semantical units according to predicate-argument structure of input network.
=== end of algorithmic description ============================

The operation of a system according to the three embodiments of the present invention is

described in connection with the following text comprising three sentences. The

information contained in these three sentences is expanded using knowledge from a

knowledge database 11 which is shown in Figure 4. As can be seen from this Figure, the knowledge database comprises the semantical units and pointers illustrated in Figure 1.

Input string comprising three sentences

I.   There is an old plant on a meadow.

5   II. Weeds are already growing next to it.

III. Because the plant is ugly, people will tear it down.

Processing of sentence I (comments are included in parentheses [...]) :

objects: predicate: be <SUBJ> <LOC>

        subject: plant   *[first semantical unit]*

10   *attribute: old*

       location: meadow   *[second semantical unit]*

         preposition: on

$N = 2, n_1 = 2, n_2 = 1$ *[There are two objects, i.e. N=2. There are two 'plants' in the knowledge database 11 (referred to as $plant_1$ and $plant_2$), i.e. n_1=2.*

15   *The word 'meadow' appears only once, i.e. n_2=1. Figures 5, 7, and 9 show the inherited possible attributes and relations of both semantical units.]*

Compute isolated fitness and potential (plant):

$f_1 = 1 / sqrt(2) + 25\% \ rel. = 0.7071 + 0.0732 = 0.7803$ *[isolated fitness of $plant_1$ and*

20   *$plant_2$. Plant implements possible attribute 'age']*

$p_1 = sqrt(1/2) = 0.7071$ *[isolated potential of $plant_1$ and $plant_2$.]*

Compute isolated fitness and potential (meadow):

$f_2 = 1 / sqrt(1) + 0\% \ rel. = 1.0$ *[isolated fitness of meadow. No implementations]*

$p_2 = sqrt(1/2) = 0.7071$ *[isolated potential of meadow]*

5   *for propagation of potentials see Figures 6, 8, and 10*

there are 3 local neighborhoods (subsets) and 2 combinations

combination 1 (plant₁ and meadow):

     no overlaps above $t_{min} = 0.3$ *[there is no relation between plant₁ and meadow; the threshold t_{min} is 0.3*

10   *in the present example]*

     $lfa_1 = 0$ *[no change in fitness]*

     $F_1 = 60\% \ subj. fit. + 40\% \ obj. fit. = 0.6 * 0.7803 + 0.4 * 1.0 = 0.8682$ *[fitness of combination 1]*

combination 2 (plant₂ and meadow):

15      $l_2 = 3 \ (0.3 <= t <= 0.3531); \ l_2 = 2 \ (0.3531 < t <= 0.3620);$

     $l_2 = 1 \ (0.3620 < t <= 0.4414); \ l_2 = 0 \ (0.4414 < t <= 1.0)$ *[For overlaps see Figures 8 and 10]*

     $lfa_2 = max \ \{...\} = 0.7201$

     $f_1 = 0.7803 + 72.01\% \ rel. = 0.7803 + 0.1582 = 0.9385$ *[improved fitness of plant₁]*

20      $f_2 = 1.0 + 72.01\% \ rel. = 1.0 + 0 = 1.0$ *[improved fitness of meadow]*

     $F_2 = 60\% \ subj. fit. + 40\% \ obj. fit. = 0.6 * 0.9385 + 0.4 * 1.0 = 0.9631$ *[fitness of combination 2]*

Please note that the above three sentences (input string 12) are selected to show how the present invention deals with information which is ambiguous. Sentence I might either refer to a living thing ($plant_2$ in Figure 4), or a building ($plant_1$ in Figure 4). The above algorithms are defined and optimized such that the inventive system can determine to which semantical

5   units in the knowledge database 11 the segments or semantical units in the input string 12 are associated. The system 10 processes the first sentence I. Based on the above equations, the system 10 determines that it is more likely ($F_2 > F_1$) that in this first sentence I plant refers to a living thing ($plant_2$). This conclusion is mainly influenced by the fact that there is no association in the knowledge database 11 between the object 'meadow' and the object

10   '$plant_1$'.

The inventive system 10 identifies a subset (local network) for each segment or semantical unit in the input string 12. In the present example there are two subsets for the object 'plant'. The first subset is shown in Figure 6. Since the threshold t_{min} is 0.3 in the present example, all semantical units outside the respective subset are suppressed. The plant has an

15   isolated fitness of $1/\sqrt{2} = 0.7071$ since two plants ($plant_1$ and $plant_2$) were found in the knowledge database 11, while the meadow has an isolated fitness of $1/\sqrt{1} = 1.0$ since only one meadow was found in the knowledge database 11. All possible attributes and relations associated with the object 'plant' are illustrated in Figure 5. The words 'age' and 'old' are implemented attributes of the object 'plant' and are shown as attributes in Figure 6. Note

20   that there are no relations implemented in the first sentence of the present example. According to the present invention, a classification probability (adjusted isolated fitness) is calculated which gives an indication as to whether the plant in the input string 12 is likely to refer to the $plant_1$ or $plant_2$ in the knowledge database 11. In the present example, the classification probability is $1/\sqrt{2}$ + bonus = 0.7803. The bonus is added because the

25   attribute 'age' with value 'old' is a possible attribute for both '$plant_1$' and '$plant_2$'. Next, the isolated potential of the object 'plant' is calculated to $\sqrt{1/2} = 0.7071$, because there is one appearance of the object 'plant' and there are a total of two semantical units ('plant' and 'meadow') in the string up to the present sentence I. The potential of '$plant_1$' is calculated by multiplying the classification probability and the isolated potential of 'plant'. The potential

30   of '$plant_1$' is 0.7803x0.7071=0.5518, which is above the threshold of 0.3. The weight

(semantic distance) assigned to the pointers between 'plant$_1$' and 'building' is 0.8, in the present example. The potential of 'building' is calculated to 0.5518x0.8=0.4414 which is also above the threshold of 0.3. The weight (semantic distance) assigned to the pointers between 'building' and 'complex' is 1.0, in the present example, and the potential of

5   'complex' is calculated to: 0.4414x1.0=0.4414, which is above the threshold of 0.3. The weight (semantic distance) assigned to the pointers between 'complex' and 'entity' is 0.7, in the present example, and the potential of 'entity' is calculated to: 0.4414x0.7=0.3090, which is above the threshold of 0.3. The potential of all other semantical units is below the threshold and these semantical units are thus deemed to be of no relevance. By means of

10   the above calculations it was shown how the potential propagates through the network until a subset (local network) is identified. Note that the equations and algorithms can be modified.

The subset (local network) of plant$_2$ is illustrated in Figure 8. This subset is identified using the same approach as described in connection with Figure 6.

15   If one now compares the subsets illustrated in Figures 6 and 8, it is difficult to tell which one of the two possibilities is a better representation of the textual information conveyed in the input string 12.

Finally, a third subset is identified which corresponds to the second object 'meadow' in the input string 12. This subset is illustrated in Figure 10. The isolated potential of meadow is

20   0.7071. The classification probability of the object 'meadow' in the input network as the object 'meadow' in the knowledge database is $1/\sqrt{1} =1.0$ since there is only one semantical unit 'meadow' in this database. This yields a potential of 'meadow' in the knowledge database 11 is also 0.7071. The semantic distance between 'meadow' and 'grassland' is 0.8 in the present example, and the 'grassland's' potential is calculated to 0.7071x0.8=0.5657.

25   The semantic distance between 'grassland' and 'weed' is 0.8 in the present example, and the potential of 'weed' is calculated to be 0.5657x0.8=0.4526. The semantic distance between 'weed' and 'plant$_2$' is 0.8 in the present example, and the potential of 'plant$_2$' is calculated to 0.4526x0.8=0.3620, which is above the threshold. The semantic distance between

'grassland' and 'location' is 0.7 in the present example, and the potential of 'location' is calculated to $0.5657 \times 0.7 = 0.3960$, which is above the threshold.

According to the present example we now have three subsets (local networks) for the two semantical units in the input string 12. In a next step these subsets are now combined to obtain a resulting semantic network. In order to ensure that the resulting semantic network properly reflects what textual information was conveyed in the input string 12, the most likely combination of subsets has to be selected. There are different ways to do this. The approach described here starts with deriving all possible combinations of the local networks. In the present example, these are the combinations "$plant_1$ + meadow" (combination 1) and "$plant_2$ + meadow" (combination 2), since all semantical units must appear exactly once in each combination. Then the overlaps in the local networks are determined for each combination. In combination 1 there is no overlap, while in combination 2 the semantical units "weed", "grassland", and "$plant_2$" have an overlap (i.e. they appear in the local networks of both "$plant_2$" and "meadow"). Therefore, the semantical units in combination 2 earn a bonus (local fitness adjustment) calculated from the formula $lfa_2 = \max_{t_{min} \le t \le 1} \{ 1/2 + 1/\pi \, \mathrm{ArcTan}(20 t - 10 + 2 \sqrt{\sum_{i=2}^{N} i l_i / N}) \}$. If one observes that for $0.3 = t_{min} \le t \le 0.3531$ we have $l_2(t) = 3$, for $0.3531 < t \le 0.3620$ we have $l_2(t) = 2$, for $0.3620 < t \le 0.4414$ we have $l_2(t) = 1$, and for $0.4414 < t \le 1.0$ we have $l_2(t) = 0$, while $l_i(t) = 0$ for all $i > 2$, this formula yields $lfa_2 = 0.7201$, that is, the previous isolated fitnesses of meadow and $plant_2$ are increased by 72.01% relative to 1.0, thus yielding the respective values for "plant as $plant_2$" of 0.9385 and "meadow as meadow" of 1.0. Finally, the total fitness for each combination is calculated from the formula F_1 or $F_2 =$ (30% predicate fitness + 30% subject fitness + 20% object fitness + 20% other). Since the percentages are relative to 100% and there is only one grammatical subject (plant) and one object (meadow), this yields the modified formulas and values $F_1 = (60\%$ plant as $plant_1$ fitness + 40% meadow as meadow fitness$) = 60\% \times 0.7803 + 40\% \times 1.0 = 0.8682$, and $F_2 = (60\%$ plant as $plant_2$ fitness + 40% meadow as meadow fitness$) = 60\% \times 0.9385 + 40\% \times 1.0 = 0.9631$ for combinations 1 and 2, respectively. Since $F_2 > F_1$, the object "plant" is identified as "$plant_2$", the living thing. This identification may be used to select a theme

(prejudgement) before processing the next sentence. Note that here also the equations and algorithms can be modified.

If one now also processes the other two sentences II and III, the system gets additional information which either leads to a reconsideration of a prior combination, or to a

5   refinement of a combination. Note that the second sentence II talks about weeds. For the system this furthers the supposition of sentence I that plant refers to plant$_2$ as a living thing rather than a building. After having processed the third sentence III, this picture has to be revised, because this sentence contains the relation 'tearing it down'. This expression is never used in connection with living things in the object role (but only in the agent role).

10   The third sentence thus seems to indicate that the first and second sentence should have referred to a building rather than a living thing. With this new theme selection sentence I and II may be reprocessed. The combination of subsets is dynamically changed until all textual information conveyed by an input string is processed. Due to this iterative approach it is possible to obtain a resulting semantic network that gives the best possible

15   representation of the information carried in the input string.

The second sentence does not add any information which helps to better understand the meaning of the first sentence, because weeds can grow next to a building or next to a living plant. Since weeds and living plants are somewhat related, at this point it seems more likely that the first sentence refers to a living plant. The third sentence finally contains information

20   which helps the system to 'understand' the meaning of the first and second sentences. It is clear from the third sentence that in the other two sentences 'plant' refers to a building.

The present invention can be used to provide systems that respond by creating meaningful outputs. In the following example the input is taken from a car accident report and output is automatically generated complying with certain classifications, e.g. what kind of cars

25   were involved, what type of accident took place, or whose fault it was. Such a system might be used by insurance companies for the automated processing of insurance claims.

An example of an insurance case is used to illustrate further details and aspects of the inventive system.

The following text from an insurance claim form is to be analyzed using the inventive system:

"I was attempting to reversing park vehicle in front of a house I was visiting. Unfortunately there was already another car parked by the house. I tried to park
5   *close to vehicle and unfortunately put the vehicle into the wrong gear, reverse and not park (automatic vehicle). There were no warnings."*

The semantic preprocessor 17 creates an input network 18 using standard parsing techniques. The sentences of the above input string are put into a predicate-argument-structure, that is, the predicate has various roles attached to it. The
10 first sentence already exhibits a hierarchical structure, where the relative clause "I was visiting" is a modifier of the location 'house', but 'house' is also the (non-existing, but referred) object in the relative clause, as is always the case with relative clause constructions. A few straightforward transformations are done, such as splitting the third sentence after the conjunction 'and' into two sentences and attaching the attribute
15 'automatic' to the proper noun 'vehicle'. Finally, certain parts of the input network might be combined since the clause "reverse and not park" only explains what "wrong gear" means.

The semantic processor 14 then acts upon this input network. It accesses the knowledge database 11 for additional information, if necessary. In the present example an illustration is given of the fact that the vehicle is 'automatic'. Here one needs to understand what
20 'automatic' means in conjunction with 'vehicle', and what the possible conclusions are. To expand the information in the input network one makes use of the knowledge around 'car' in the knowledge database 11. 'Car' is kind of (has hypernym) 'vehicle', 'transmission' is part of (has meronym) 'car', which in turn may be 'automatic' or 'manual'. If it is 'automatic' it has 'gears' which correspond to (interact with) a certain 'speed' of the object 'car'. In
25 particular, 'gears' are 'park' or 'reverse'. The speed of the particular 'gear P' is zero (a value of the attribute 'speed'), while the speed of the particular 'gear R' is between zero and minus 10 km/h (a possible range of the value, where the minus-sign indicates the direction of movement).

In addition, one may have an entry that 'have an accident' is a 'relation' between two 'cars' (objects). Any two semantical units that exist as real things may have a relation of some kind, and that relation may have an attribute 'relative speed', which contains a formula such as 'equals absolute value of speed of semantical unit 1 minus speed of semantical unit 2',

5   where the individual speeds refer to the attributes 'speed' of the respective semantical units attached to the relation. The relation 'have an accident' thus inherits the attribute 'relative speed', and in the formula the speeds of the two semantical units is replaced with the speed of the two cars. To obtain the 'relative speed' in the present case, one knows that one car is parked, and the value of the attribute 'speed' of a car is set to zero if the car is parked (this

10   is stored in the formula to compute the speed of a car), so the speed of the first car is zero. For car two, one knows that it is in reverse, so its speed is between zero and minus 10 km/h, and, therefore, the 'relative speed' is between 0 and 10 km/h.

Finally, the knowledge database may contain further information about 'automatic vehicles', such as if 'gear' is not 'P' or 'N', and the brake is not applied, then speed is at least 5 km/h

15   (reflecting that automatic vehicles tend to crawl). This scenario may be brought into the context: since there was an accident, the relative speed cannot have been zero, so it is possible that the driver put the car into 'R' but did not apply the brakes, thus bumping into the parked car at crawling speed.

Once the textual information conveyed in the input string is expanded (transformed) into a

20   resulting semantic network, the insurance agent, for example, might ask questions which the system then can answer. Likewise, the system might create a printout in a standardized form which gives an objective and informative description of what has happened. The system might even propose actions. It might for example authorize the reimbursement of expenses which somebody involved in the accident claimed.

25   Proposed are schemes and systems based on a special model of textual information and natural language. According to our model, natural language as well as textual information consists of semantical units and pointers which are grouped at different levels of hierarchy and are all of a similar type. In addition, we use weights to express the semantical distance of two linked semantical units. Thus, the knowledge database, speech, and questions are all

represented in what is herein called a fractal hierarchical network. The local network of a speech or a question is created by locating its semantical units, possible relations, possible attributes, and possible roles in the knowledge database and copying the semantical neighborhoods from the knowledge database, whereby overlapping areas are increased.

5   Finally, the overlap of a speech and a question network yields a resulting semantic network which can be used to e.g. generate a meaningful answer or reaction.

The present invention can also be used for data mining purposes. The inventive approach allows to extract meaning from the textual information conveyed in input strings and can process huge amounts of information. It can determine relationships and trends that were

10   previously invisible or unclear. The inventive approach allows to automatically apprehend meaning of input strings of any length with a previously unmatched quality.

CLAIMS

1. Method for the processing of textual information conveyed in an input string together with information contained in a knowledge database representing a network of hierarchically arranged semantical units which are similar across hierarchies, said method comprising the steps:

   a. segmenting said input string into segments and

   b. combining said segments with semantical units from said knowledge database to generate a resulting semantic network of hierarchically arranged semantical units which are similar across hierarchies.

2. The method of claim 1, wherein at least one of said segments is deemed to be related to a semantical unit, or is similar to a semantical unit, and wherein there are at least n semantical units in the knowledge database with $n \geq 2$.

3. The method of claim 2, whereby a semantical unit is a set containing one or several pieces of information, such a semantical unit preferably consisting of a name and pointers to other semantical units.

4. The method of claim 2, whereby step b. comprises the following steps

   i. identifying a matching semantical unit in said knowledge database, said matching semantical unit being deemed to be related to the jth segment of said input string,

   ii. determining the fitness of said matching semantical unit by taking into consideration said semantical unit's possible associations,

   repeating steps i. through ii. until a matching semantical unit is found for all $j = 1,...,m$ segments of said input string.

5.   The method of claim 4, whereby the combining of said segments with said matching semantical units is done such that the resulting semantic network comprises semantical units that are deemed to be related to the textual information conveyed in said input string.

5   6.   Method of any of the preceding claims, wherein said resulting semantic network is employed for the automated apprehension of the textual information conveyed in the input string.

7.   The method of claim 1, whereby said knowledge database and resulting semantic network comprise several types of semantical units such as objects, relations, and
10   attributes.

8.   The method of claim 1, whereby said knowledge database and resulting semantic network comprise several types of pointers.

9.   The method of claim 4, whereby steps i. through ii. are carried out m times if there are m matching semantical units in said knowledge database which are deemed to be
15   related to the jth semantical unit of said input string, and whereby only one of said m matching semantical units is used to generate said resulting semantic network.

10.   The method of claim 4, whereby said matching semantical units are combined with each other to form the resulting semantic network if a corresponding classification probability indicates that the respective semantical units have been correctly matched
20   with the semantical units in said knowledge database.

11.   The method of claim 4, whereby said semantical unit's possible associations are its possible attributes and/or possible relations and/or possible roles.

12.   The method of claim 4, whereby attributes and/or relations and/or roles of a semantical unit in the input string are used to determine whether a matching semantical
25   unit in said knowledge database is better, meaning for example that it has a higher fitness than any other matching semantical unit in said knowledge database.

13. The method of claim 4, whereby a bonus is added to increase the fitness if generally accepted attributes and/or relations and/or rules are used in connection with a semantical unit.

14. The method of claim 4, whereby a malus is subtracted to decrease the fitness if unusual attributes and/or relations and/or roles are used in connection with a semantical unit.

15. The method of claim 1 or 4, whereby each semantical unit in the knowledge database receives a potential, said potential being a fixed or variable potential.

16. The method of claim 8, whereby a pointer between two semantical units in the knowledge database has a weight corresponding to some kind of semantical distance between the two semantical units, said weight being a fixed or variable weight.

17. The method of claim 1, wherein the resulting semantic network is a network identified within said knowledge database.

18. The method of claim 1, wherein the resulting semantic network is extracted from the knowledge database to form a new separate network.

19. The method of claim 2, wherein the input string is transformed into an input network comprising said semantical units.

20. The method of claim 19, wherein the resulting semantic network is obtained from transforming said input network.

21. The method of claim 8, wherein certain of the pointers describe the mutual relationships between semantical units.

22. The method of claim 16, wherein the inverse of said weight represents some kind of a semantical distance between two semantical units it connects.

23. The method of claim 4, wherein steps i. through ii. are repeated if an additional input string is received until a resulting semantic network is generated.

24. The method of claim 1, wherein an input text is transformed into several input strings.

25. The method of claim 1, wherein a preliminary theme of the input string is determined allowing a quick identification of a preliminary subset of information within the knowledge database.

26. The method of claim 25, wherein said preliminary subset is from time-to-time, or continuously revised, preferably if a contradiction or change of theme is determined.

27. The method of claim 1, comprising the step of performing an action depending on the general meaning extracted from said input string.

28. The method of claim 1, wherein an action is performed by providing an answer if the input string was determined to comprise a question.

29. The method of claim 1, wherein the knowledge database comprises a self-similar representation of semantical units and pointers across different scales.

30. The method of claim 4, wherein self-similar algorithms are used when carrying out steps i. through ii.

31. The method of claim 1, wherein the knowledge database reflects the structure of an environment, said environment preferably being a subset of the real world.

32. The method of claim 8, wherein at least one of said pointers is a directed associative connection between semantical units.

33. The method of claim 8, wherein at least one of said pointers is a hierarchical pointer, or a horizontal pointer, or a similarity pointer, or a functional pointer, or an attributional pointer, or a role pointer.

34. The method of claim 1, wherein text is transformed into input strings which are then processed string-by-string.

35. The method of claim 1, wherein speech is transformed into input strings which are then processed string-by-string.

36. The method of claim 35, wherein speech recognition software is employed to transform said speech into said input strings.

37. The method of claim 19, wherein the input network is generated by means of syntactic parsing and/or grammatical parsing.

38. Method for the construction of a fractal hierarchical knowledge database, comprising the steps:

    • recording semantical units,

    • linking said semantical units by means of pointers with other semantical units of the fractal hierarchical knowledge database,

    • assigning a weight to said pointer.

39. The method of claim 38, wherein said pointer is a hierarchical pointer or a horizontal pointer, and said hierarchical or horizontal pointer is a similarity pointer, or a functional pointer, or an attributional pointer, or a role pointer.

40. The method of claim 38, wherein there are various types of pointers which describe the associations between semantical units.

41. The method of claim 38, wherein the pointers themselves are regarded as semantical units.

42. The method of claim 38, wherein the weight assigned to the pointer is fixed or variable.

43. The method of claim 38, wherein a fixed or variable potential is assigned to a semantical unit, preferably when the fractal hierarchical knowledge database is used for the automated apprehension of textual information conveyed in an input string.

44. The method of claim 43, wherein a fixed or variable threshold is assigned to said fractal hierarchical knowledge database.

45. The method of claim 44, wherein the potential and threshold are used for subset determination.

46. The method of claim 38, wherein the inverse of the weight of a pointer represents some kind of a semantic distance between two semantical units it connects.

47. Apparatus for the processing of textual information conveyed in an input string together with information contained in a knowledge database representing a network of hierarchically arranged semantical units which are similar across hierarchies, said apparatus comprising:

 • means for segmenting said input string into segments,

 • memory for storing said segments,

 • a semantic processor for combining said segments with semantical units from said knowledge database to generate a resulting semantic network of hierarchically arranged semantical units which are similar across hierarchies.

 • memory for storing said resulting semantic network.

48. The apparatus of claim 47, wherein at least one of said segments is deemed to be related to a semantical unit, or identical with a semantical unit, and wherein there are at least n semantical units with $n \geq 2$.

49. The apparatus of claim 48, wherein said semantical processor comprises

    •   means for identifying a matching semantical unit in said knowledge database, said matching semantical unit being deemed to be related to the jth segment of said input string, such that a matching semantical unit is found for all j = 1,...,m segments of said input string, and

5   •   means for determining the fitness of said matching semantical unit by taking into consideration said semantical unit's possible associations.

50. The apparatus of claim 49, comprising a speech processing unit, preferably comprising speech recognition module, which transforms speech into said input string.

51. The apparatus of claim 47 being designed for the automated apprehension of the
10   textual information conveyed in the input string.

52. The apparatus of claim 51 comprising means which trigger a reaction depending on the apprehended information.

53. The apparatus of claim 47 comprising an post-processor which transforms the resulting semantic network to create an output string.

15   54. The apparatus of claim 47, wherein the resulting semantic network is represented as a fractal hierarchical network of semantical units.

55. The apparatus of claim 48 comprising

    •   a semantic preprocessor for processing said input string to generate an input network which comprises said semantical units.

Semantical units

object ◯

relation ⬭

attribute ▭
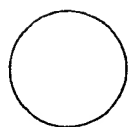
Pointers

similarity ⋯⋯➤

functional ⋯⋯➤

attribute ╌╌➤

role ──➤

horizontal

upwards

downwards
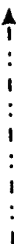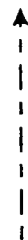
Fig. 1
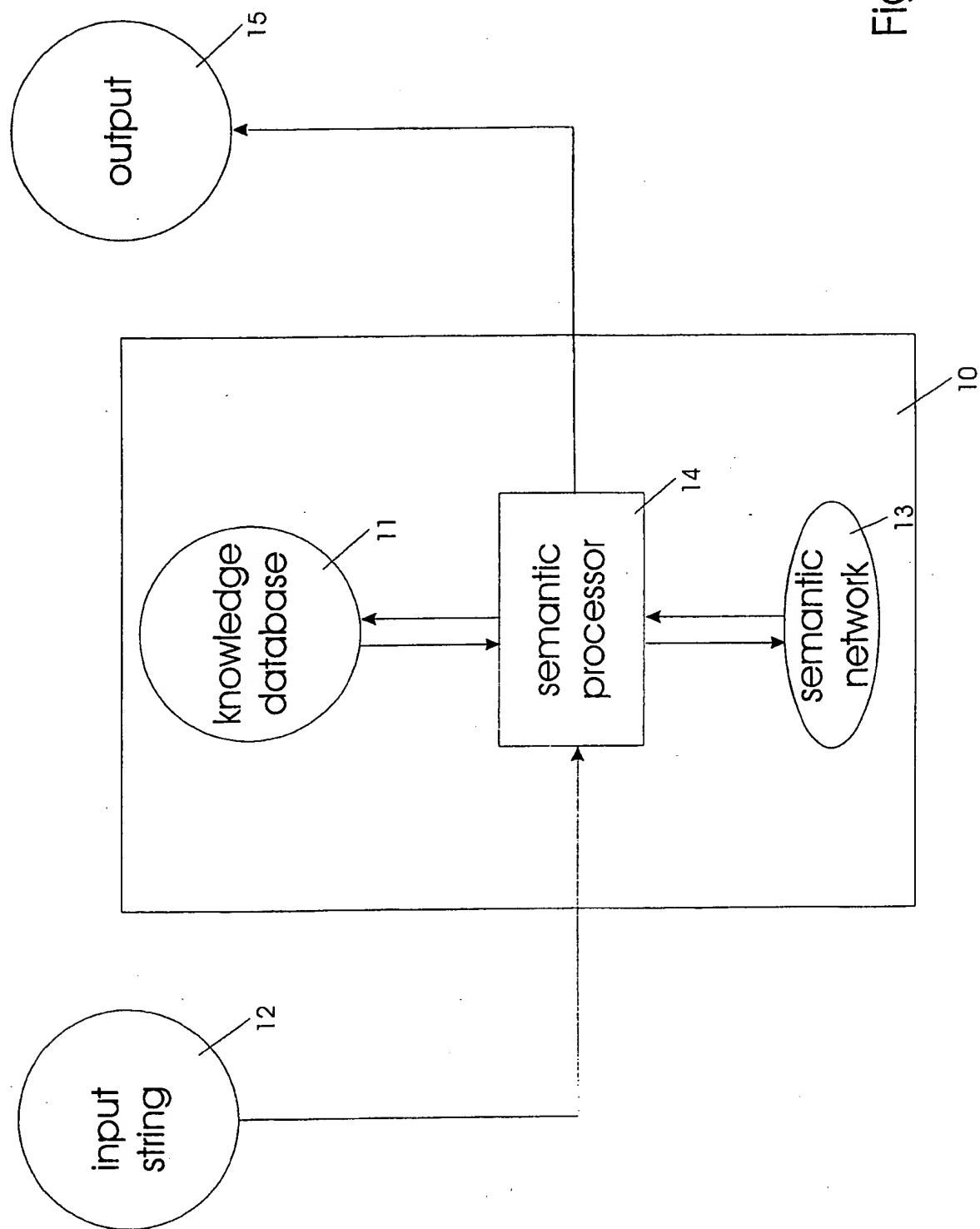
Fig. 2A
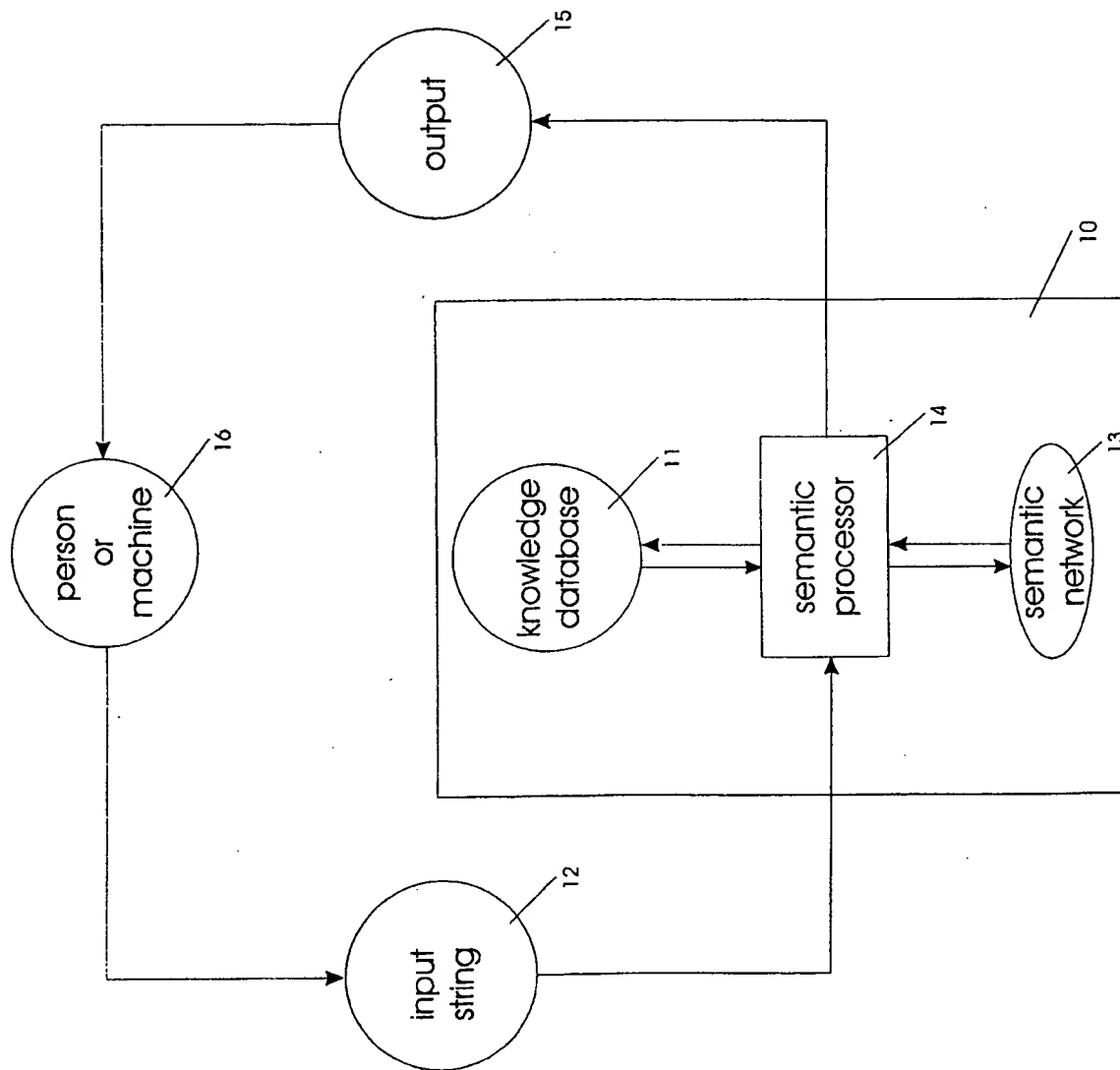
Fig. 2B
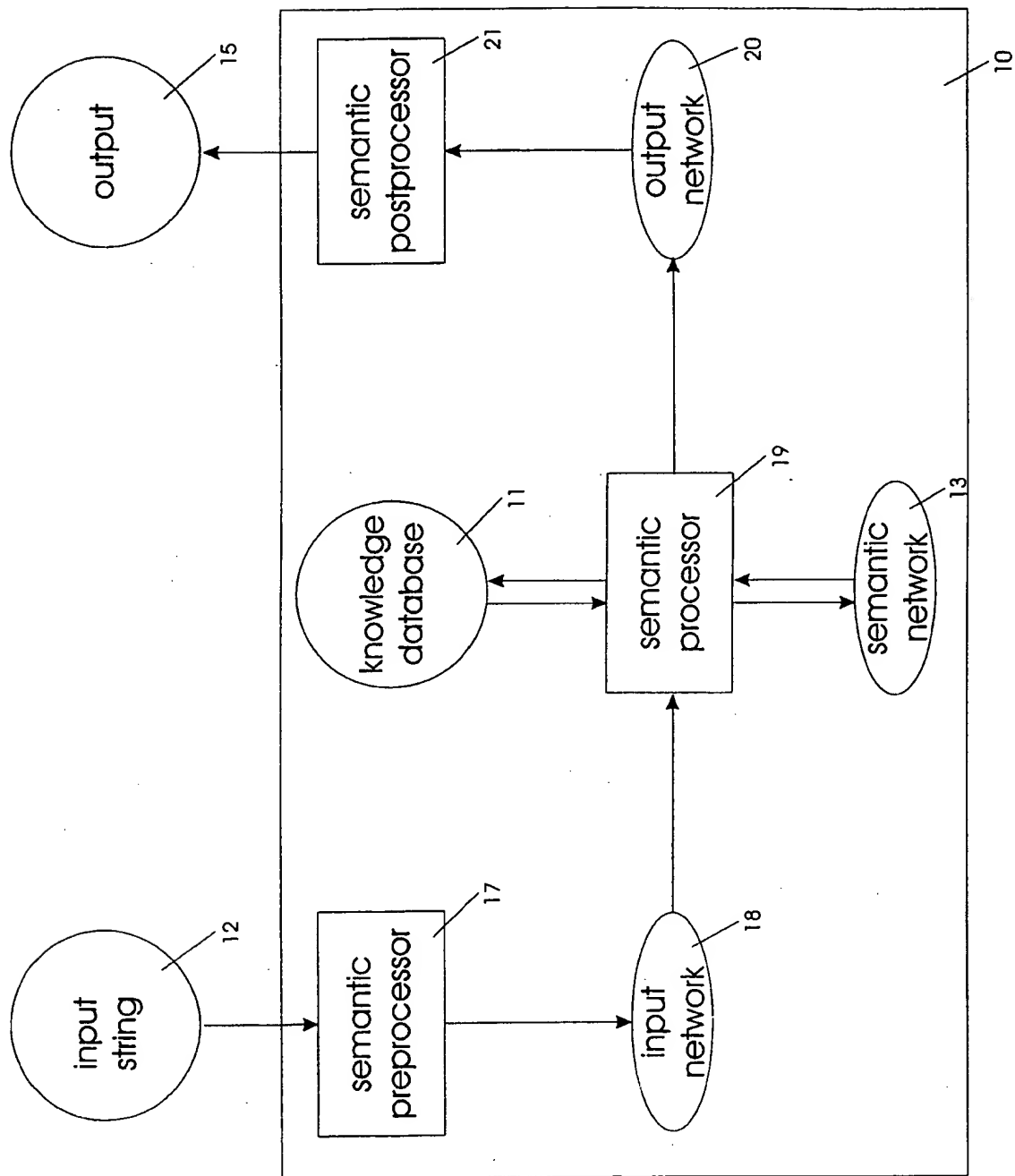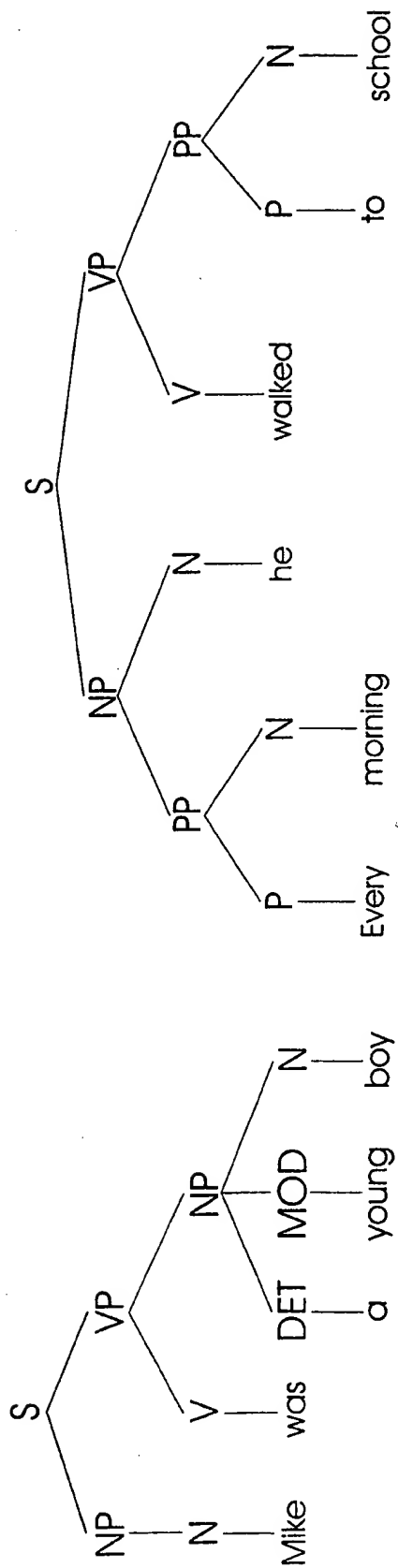
Fig. 2C

Fig. 3A

PRED 'WALK<(^SUBJ)(^TO OBJ)>'
TENSE PAST
SUBJ   PRED 'HE'
        NUM SING
        PERS 3
        DEF +
TO   PCASE TO
     OBJ   PRED 'SCHOOL'
            NUM SING
ADJUNCTS {"EVERY MORNING"}

PRED 'BE<(^SUBJ)(^OBJ)>'
TENSE PAST
SUBJ   PRED 'MIKE'
        NUM SING
        PERS 3
        DEF +
OBJ   PRED 'BOY'
        NUM SING
        PERS 3
        SPEC A
        DEF -
        MOD YOUNG
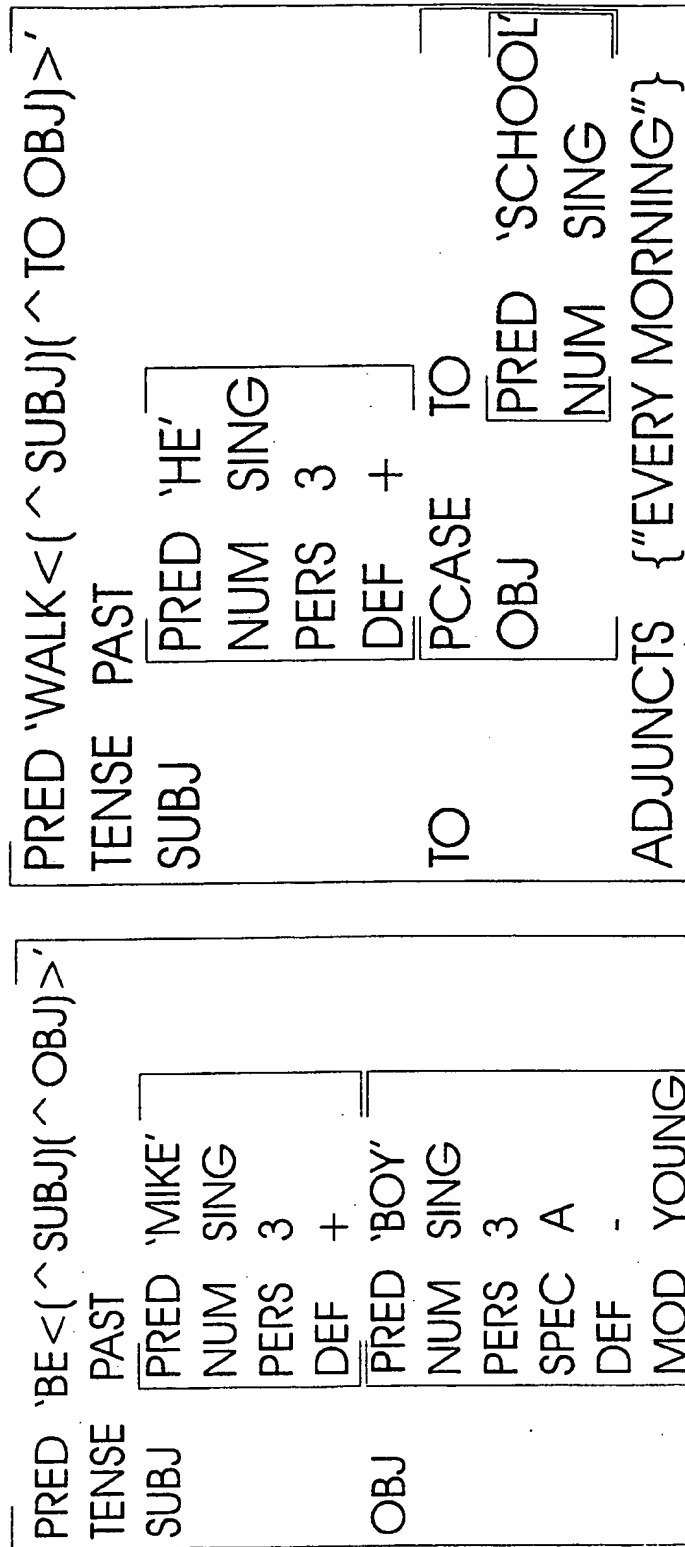
Fig. 3B
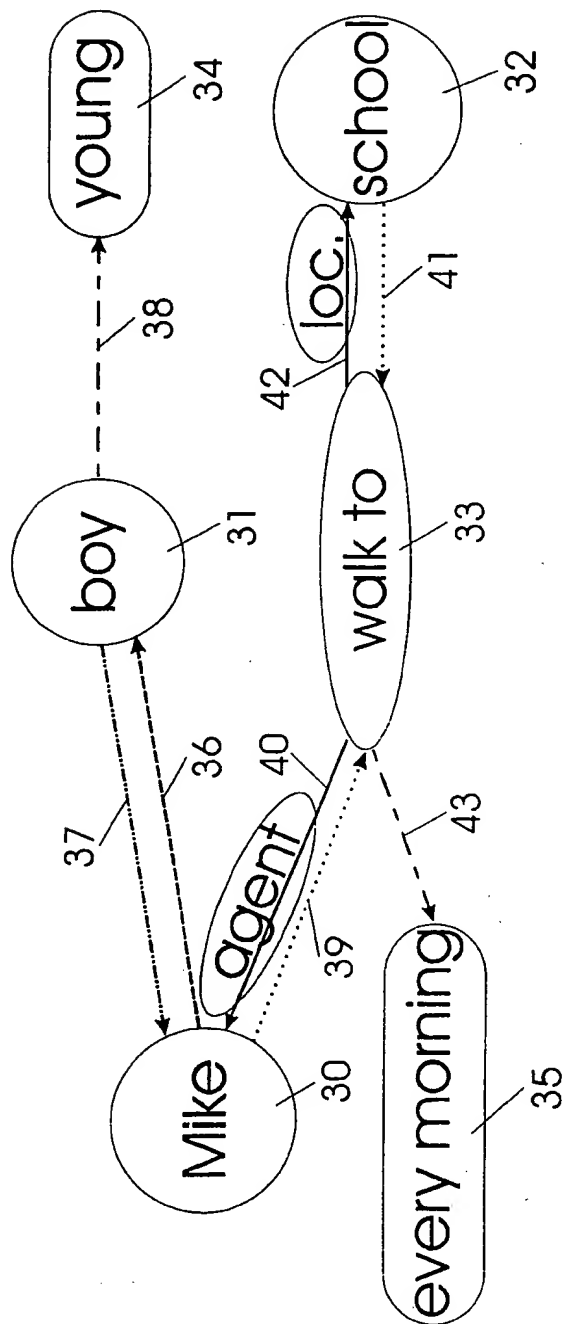
Fig. 3C
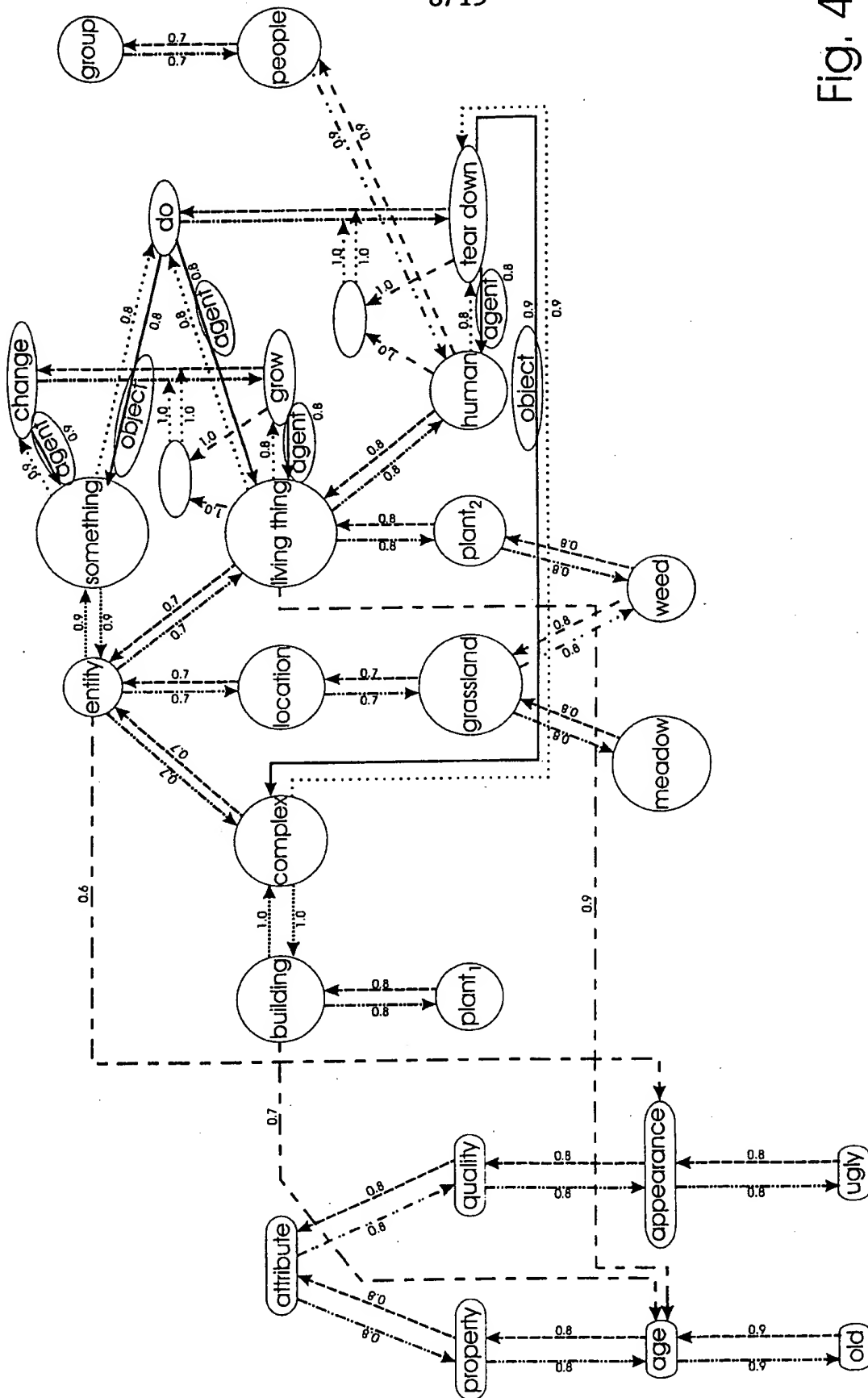
Fig. 4
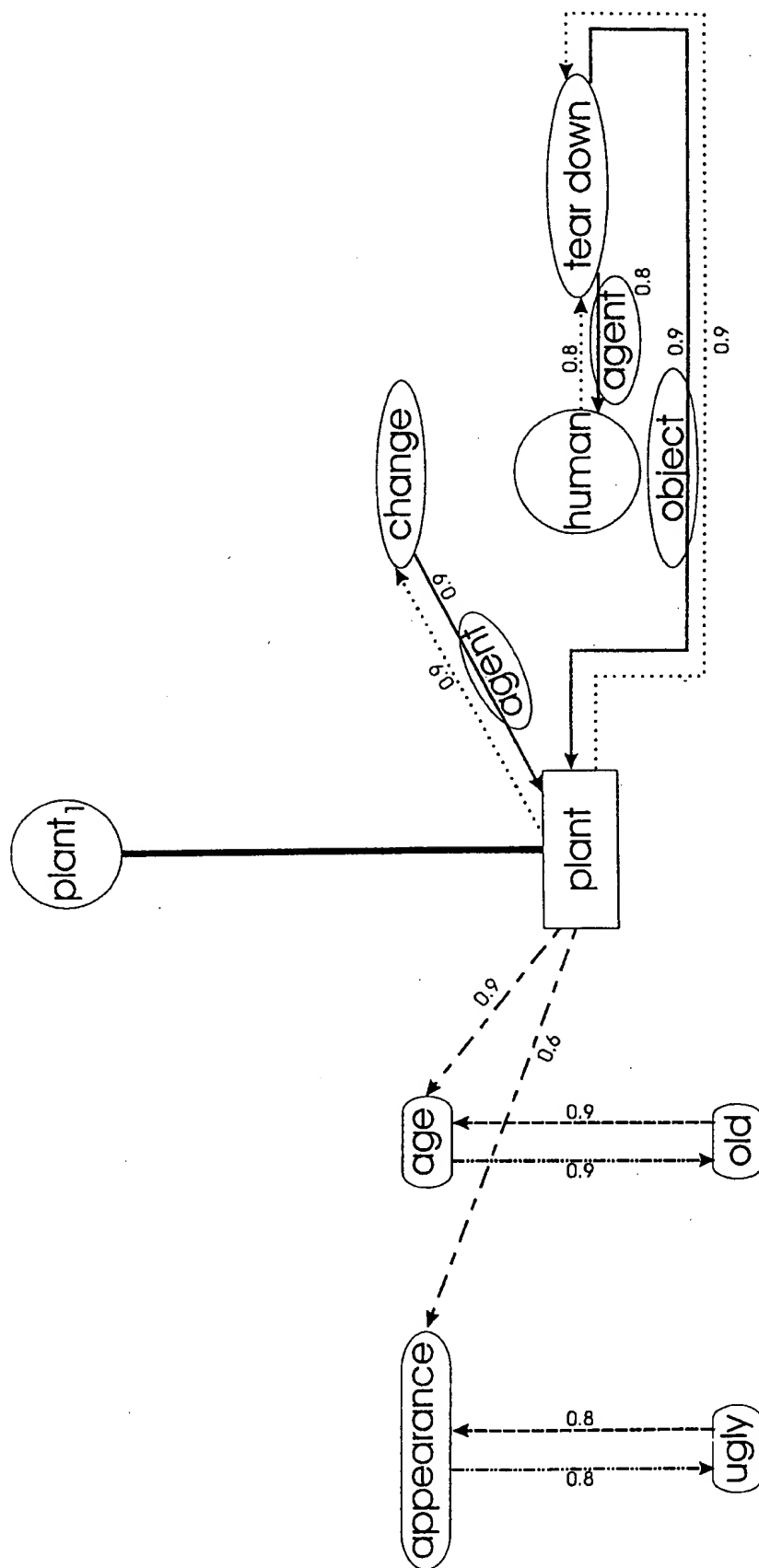
Fig. 5
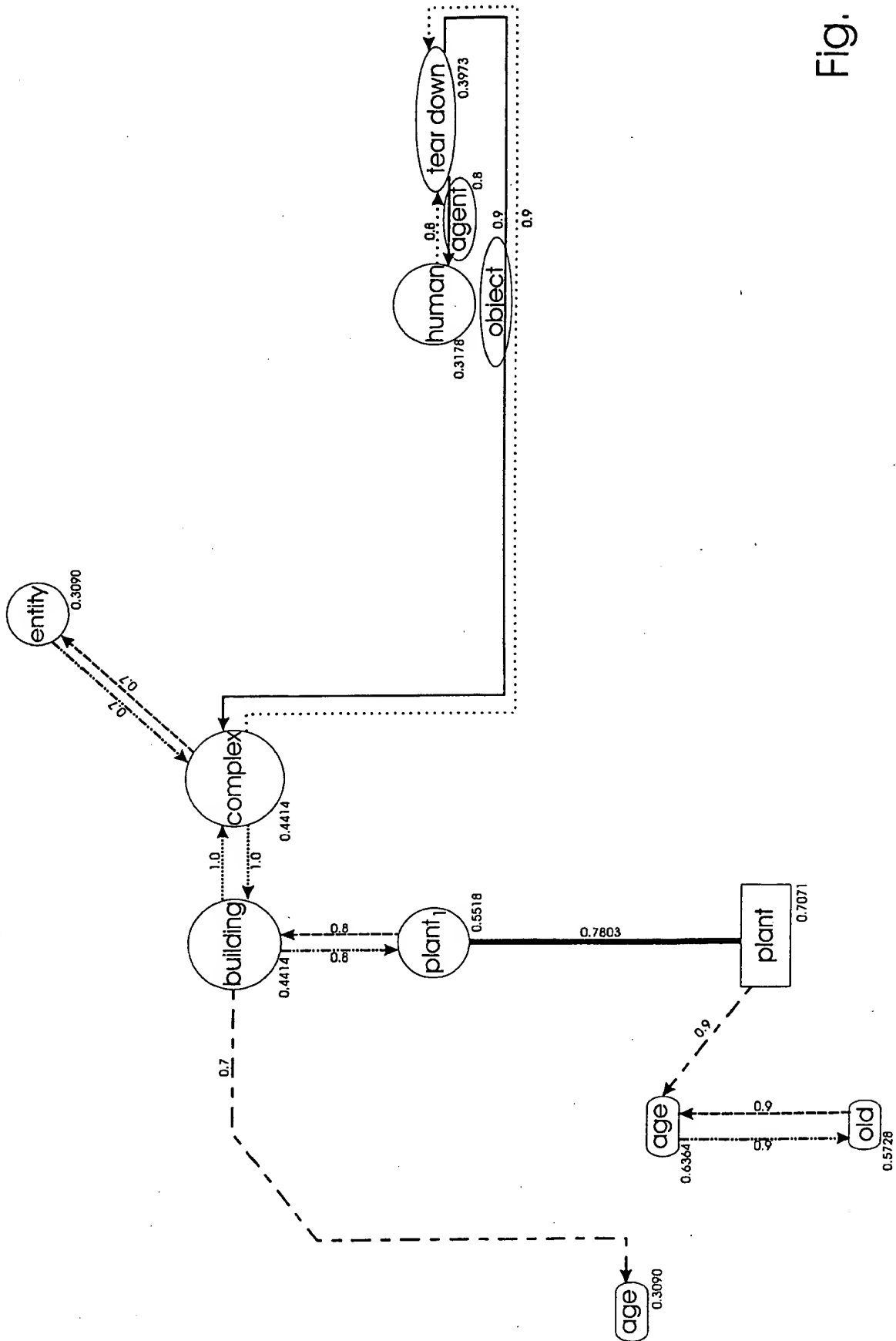
Fig. 6
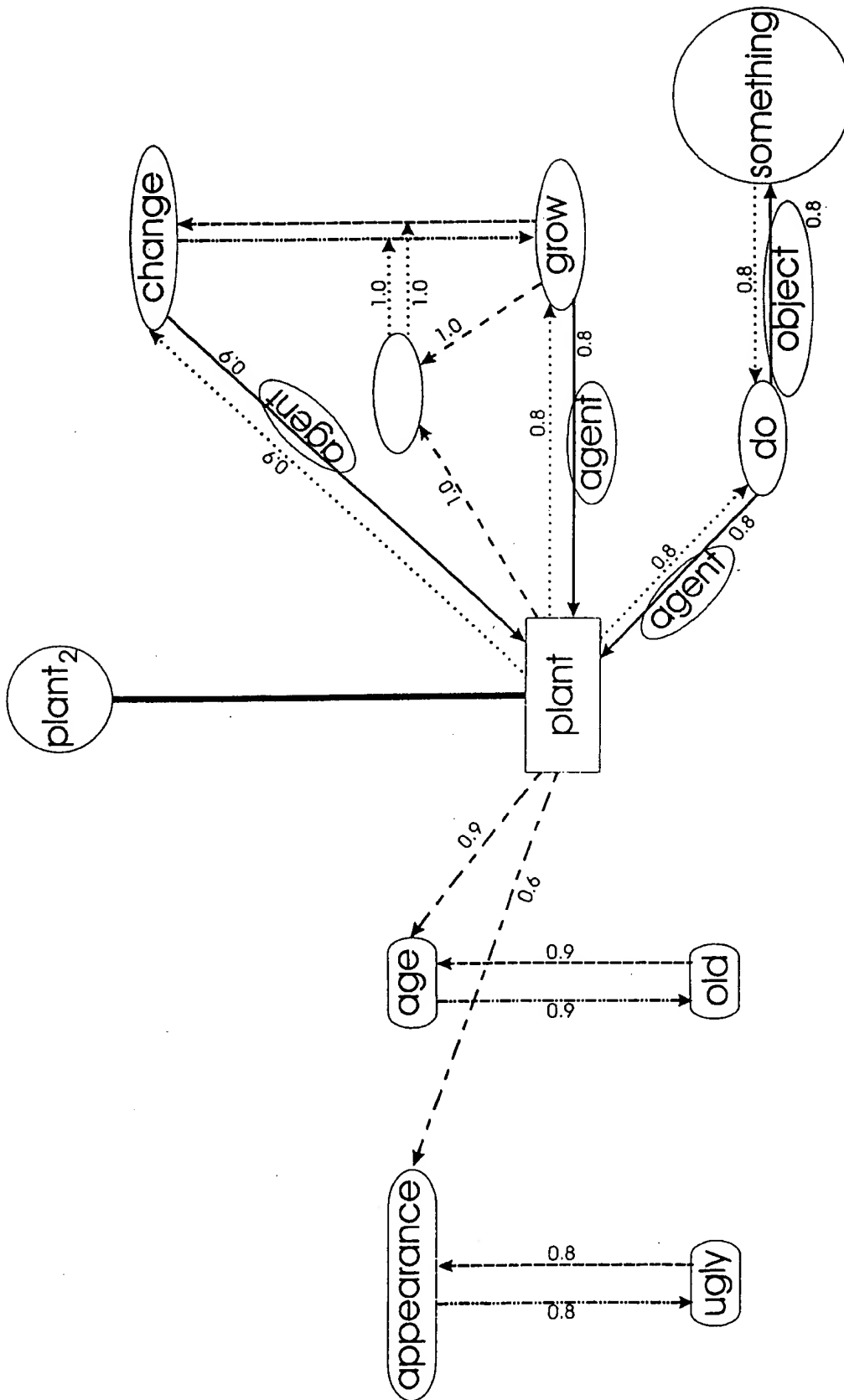
Fig. 7

Fig. 8
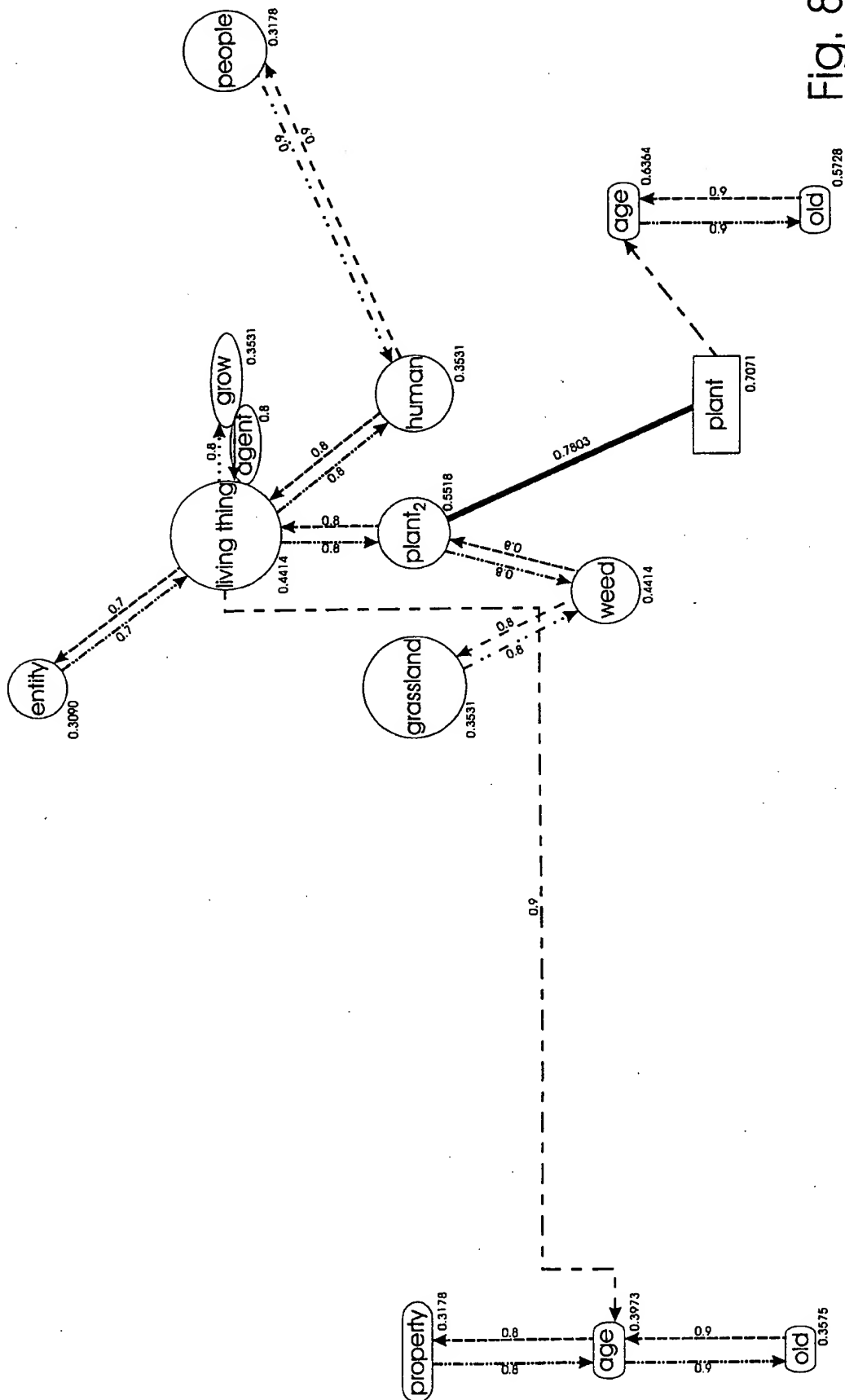
Fig. 9
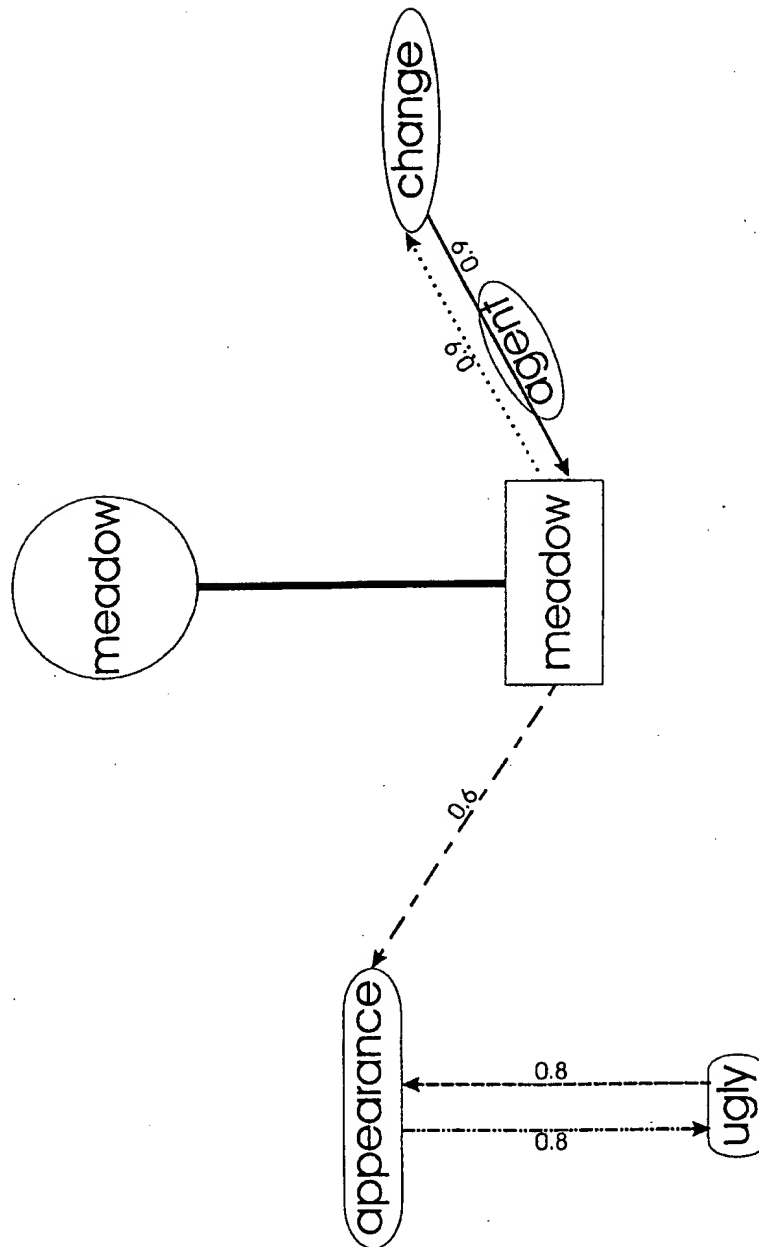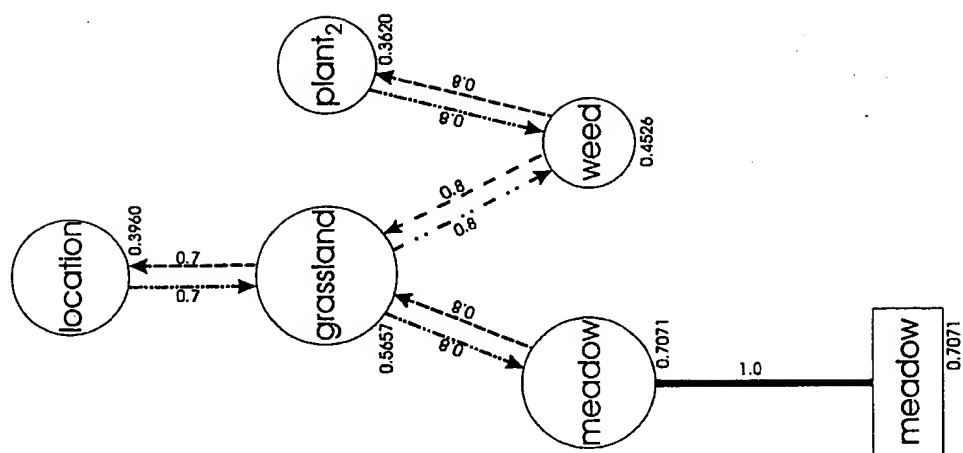
Fig. 10
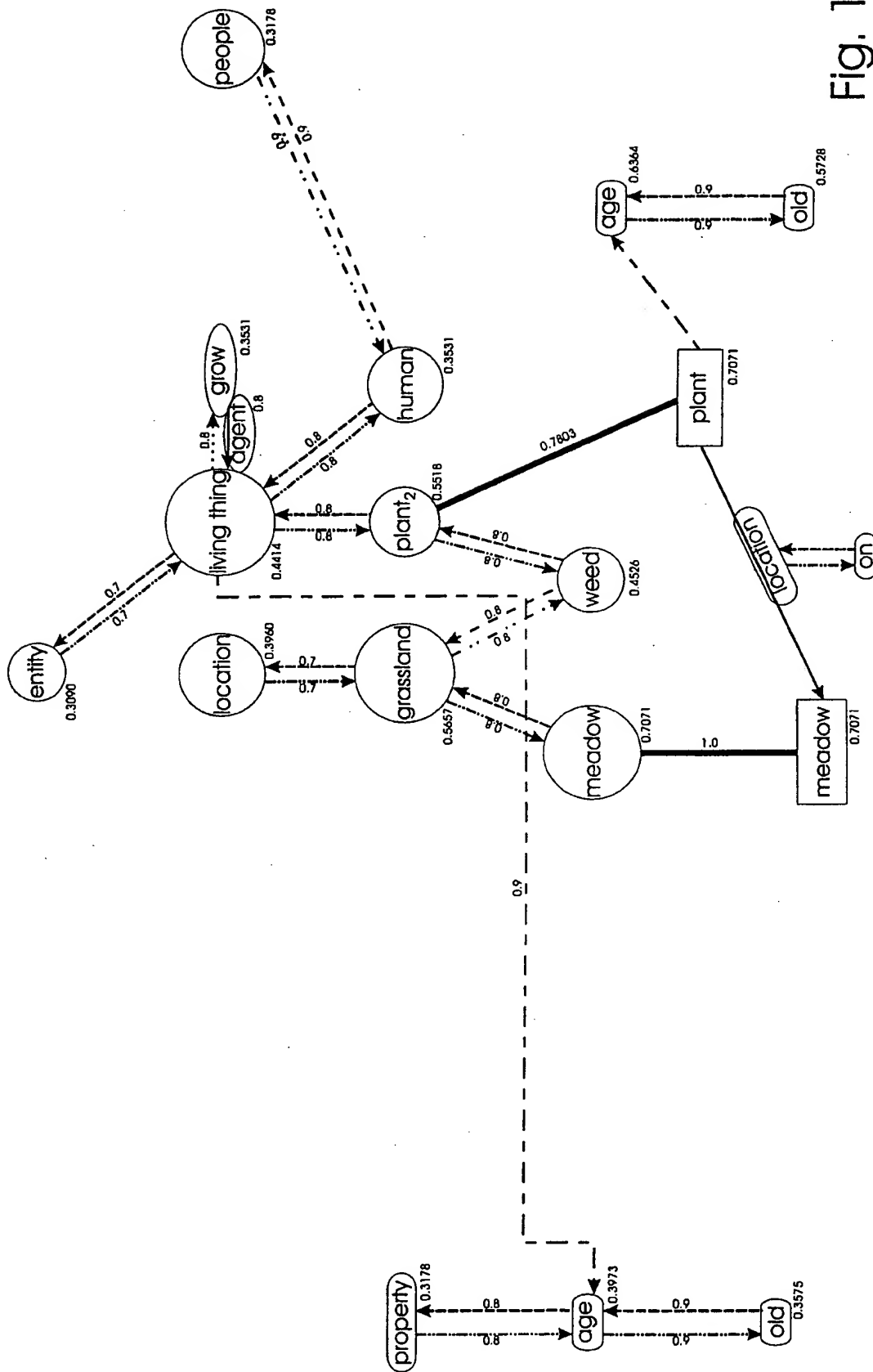
Fig. 11

INTERNATIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6   G06F17/28   G06F17/27

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6   G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 689 147 A (CANON KABUSHIKI KAISHA) 27 December 1995 (1995-12-27) the whole document ---	1-55
A	R. V. GUHA ET AL: "Enabling Agents to Work Together" COMMUNICATIONS OF THE ACM, vol. 37, no. 7, July 1994 (1994-07), pages 127-142, XP000485267 New York, US cited in the application the whole document --- -/--	

[X] Further documents are listed in the continuation of box C.   [X] Patent family members are listed in annex.

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"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
2 August 1999	09/08/1999

Name and mailing address of the ISA	Authorized officer
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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication,where appropriate, of the relevant passages	Relevant to claim No.
A	M. CHUNG ET AL: "Applying Parallel Processing to Natural-Language Processing" IEEE EXPERT, vol. 9, no. 1, February 1994 (1994-02), pages 36-44, XP000447480 Los Alamitos, CA, US the whole document	

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INTERNATIONAL SEARCH REPORT

Information on patent family members

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 689147 A	27-12-1995	JP 8069470 A	12-03-1996